BRIEF NOTE

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Analysis of surface diffusion of carbon- and nitrogen-containing molecules during homoepitaxial growth of 4H-SiC (0001) under silicon-rich conditions

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Reported experimental results on homoepitaxial 4H-SiC grown by chemical vapor deposition on 1°- and 4°-off (0001) surfaces under silicon-rich conditions in a SiH₄-C₃H₈-N₂-H₂ system are quantitatively analyzed according to surface diffusion theory dealing with step dynamics. The surface-diffusion lengths of carbon- and nitrogen-containing molecules are, respectively, estimated to be $\lambda_c = 25$ nm and $\lambda_N \ge \lambda_c$ at 1773 K. This magnitude relationship agrees with the reported assumption made for the variation in nitrogen concentration on (0001) facets of 4H-SiC grown by physical vapor transport. © 2020 The Japan Society of Applied Physics

Toward the realization of an advanced energy-saving society, SiC has been used for highly efficient power devices despite its polytypism.^{1,2)} This is due to homoepitaxial growth on misoriented (0001) substrates (with an off angle θ being 1° or larger),^{3–6)} in which polytype control is achieved by stepflow growth during chemical vapor deposition (CVD). Even in the case $\theta = 1^\circ$, good surface morphology was achieved in a conventional SiH₄-C₃H₈-N₂-H₂ system when growth temperature was 1773 K, growth pressure was 80 Torr, and the atomic ratio of carbon and silicon in supplied gasses (*C/Si*) was less than unity (i.e. silicon-rich conditions).⁶⁾

With respect to step flow growth, the Burton-Cabrera-Frank (BCF) theory dealing with step dynamics¹) has been applied for molecular beam epitaxy (MBE) to discuss the surface diffusion of silicon adatoms,^{8,9)} gallium adatoms,¹⁰⁾ and As_i and Sb_i (j=1, 2, 4) molecules;¹¹⁾ for CVD to discuss the surface diffusion of silicon adatoms,^{12–16)} silicon or carbon clusters,¹⁷⁾ C₂H₂ molecules,¹⁸⁾ and aluminum-containing molecules;¹⁹⁾ for physical vapor transport (PVT) to discuss the surface diffusion of carbon adatoms²⁰⁾ and nitrogen adatoms.²¹⁾ In contrast, Ferro and Chaussende²²⁾ contested the validity of these applications of the BCF theory by pointing out the reported θ -independent aluminum concentration (i.e. k in the diluted solid solution Al_kSi_{1-k}C) in CVD-grown 4H-SiC.²³⁾ In Ref. 23, however, C/Si was small (i.e. 1.8),²⁴⁾ which results in k being mainly limited by the desorption flux of aluminum-containing molecules.¹⁹⁾ When C/Si is large (i.e. 4-6), on the other hand, k is mainly limited by the surface-diffusing flux of aluminum-containing molecules,¹⁹⁾ agreeing with the reported θ -dependent aluminum concentration in CVD-grown 4H-SiC.²⁵⁾ While aluminum (a p-type dopant in 4H-SiC) substitute silicon sublattice, nitrogen (an n-type dopant in 4H-SiC) substitute carbon sublattice.²⁶⁾ Judging from the socalled "site competition" proposed by Larkin et al.,27) we consider the above-described situation is reversed in the case of nitrogen-doped 4H-SiC; namely, the surface-diffusing flux of nitrogen-containing molecules dominates when C/Si is small.

Ferro and Chaussende proposed a model on nitrogen incorporation at the 4H-SiC {0001} terraces rather than step-edges.²²⁾ In their model, nitrogen incorporation is assumed to be driven by the formation of carbon vacancies, due to H₂ etching, at the surface or near the surface. This model, however, cannot explain the variation in nitrogen doping on $(000\overline{1})$ facets of 4H-SiC grown by PVT at 2573–2673 K.²¹⁾ In contrast, Yokomoto et al. successfully explained the nitrogen-doping variation by the BCF theory with an assumption that surface-diffusion length of nitrogen adatoms is longer than that of carbon adatoms.²¹⁾

Since this assumption has yet to be verified, the present study deals with CVD, instead of PVT, of 4H-SiC to discuss the surface diffusion of carbon- and nitrogen-containing molecules.

Among the experimental studies on the effect of θ on nitrogen incorporation into 4H-SiC, 6,25,28) CVD growth under silicon-rich conditions was carried out by Saito and Kimoto⁶⁾ only. We therefore used the results of their experiments⁶⁾ whose growth conditions are described above. During step-flow growth under such silicon-rich conditions, almost all the step edges are considered to be occupied by silicon,¹⁸⁾ and they can accept no more silicon atoms until another carbon atom enters the step edges, as discussed in the case of GaAs MBE under gallium-rich conditions.²⁹⁾ This was experimentally confirmed by the growth rate *R* under silicon-rich conditions being limited by the supply of carbon.⁶⁾ Figure 1 shows our surface model, where Si-C bilayer steps with height h are separated by equal distance λ_0 . SiC homoepitaxial growth on off-oriented (0001) substrates is known to result in macroscopic step bunching; in the case of 4H-SiC, four-bilayer height is dominant, i.e. $h = 1.01 \text{ nm.}^{30}$ The step edges are assumed to act as a perfect sink for the diffusing carbon- and nitrogen-containing molecules on the surface.

Since the net fluxes of carbon- and nitrogen-containing molecules onto the surface are equal to the diffusion fluxes toward the step edges, the continuity equations are⁷

$$-D_{\rm C} d^2 n_{\rm C}(y) / dy^2 = F_{\rm C} - n_{\rm C}(y) / \tau_{\rm C}, \qquad (1a)$$

$$-D_{\rm N}d^2n_{\rm N}(y)/dy^2 = F_{\rm N} - n_{\rm N}(y)/\tau_{\rm N}, \qquad (1b)$$

where D_i and n_i (i = C, N) are the surface diffusivity and the surface density of *i*-containing molecules, respectively, F_i (i = C, N) is the flux of *i*-containing molecules arriving at the surface, and τ_i (i = C, N) is the mean residence time of *i*-containing molecules on the surface. Under the boundary condition where $n_i(\pm \lambda_0/2)$ takes its equilibrium value n_{io} (i = C, N),¹⁰ the solutions of Eqs. (1a) and (1b) are, respectively, given by $n_C(y)$

$$n_{\rm C}(y)$$

$$= F_{\rm C}\tau_{\rm C} + (n_{\rm Co} - F_{\rm C}\tau_{\rm C})[\cosh(y/\lambda_{\rm C})/\cosh(\lambda_{\rm o}/2\lambda_{\rm C})],$$
(2a)

$$n_{\rm N}(y) = F_{\rm N}\tau_{\rm N} + (n_{\rm No} - F_{\rm N}\tau_{\rm N})[\cosh(y/\lambda_{\rm N})/\cosh(\lambda_{\rm o}/2\lambda_{\rm N})],$$
(2b)

where $\lambda_i \equiv (D_i \tau_i)^{1/2}$ (i = C, N) is the surface-diffusion length of *i*-containing molecules. The flows of *i*-containing



Fig. 1. (Color online) Schematic illustration of the stepped surface. *y*-axis is taken perpendicular to the step edge and its origin at the middle point between steps. λ_0 : mean step distance; F_C : incident flux of carbon-containing molecules; F_N : incident flux of nitrogen-containing molecules; n_C : surface concentration of carbon-containing molecules; n_N : surface concentration of nitrogen-containing molecules; τ_C : mean residence time of carbon-containing molecules; J_C and J_N : surface diffusion fluxes of carbon- and nitrogen-containing molecules.

molecules in the y direction [$J_i(y)$ in Fig. 1] are obtained as $J_{\rm C}(y) = \lambda_{\rm C}(F_{\rm C} - n_{\rm Co}/\tau_{\rm C})\sinh(y/\lambda_{\rm C})/\cosh(\lambda_{\rm o}/2\lambda_{\rm C}),$ (3*a*)

$$J_{\rm N}(y) = \lambda_{\rm N}(F_{\rm N} - n_{\rm No}/\tau_{\rm N})\sinh(y/\lambda_{\rm N})/\cosh{(\lambda_{\rm o}/2\lambda_{\rm N})}.$$
(3b)

The step velocity is calculated by considering carboncontaining molecules diffusing from both the left and right



Fig. 2. (Color online) Dependences of $F_{\rm C} - n_{\rm Co}/\tau_{\rm C}$ on *C/Si* calculated from Eq. (4) with assumptions of $\lambda_{\rm C}$ being equal to (a) 20, (b) 25, and (c) 30 nm. Lines show least-squares fit to experimental results (solid symbols: $\theta = 1^{\circ}$; open symbols: $\theta = 4^{\circ}$) at 1773 K.⁶)

sides of the step edges. *R* is given by the product of the step velocity and h/λ_0 as follows:

$$R = (2h\lambda_{\rm C}/n_{\rm o}\lambda_{\rm o})(F_{\rm C} - n_{\rm Co}/\tau_{\rm C})\tanh(\lambda_{\rm o}/2\lambda_{\rm C}), \qquad (4)$$

where $n_{\rm o}$ is the density of sites of adsorbed carbon-containing molecules on the surface. If $n_{\rm o}$ is assumed to be equal to the density of silicon adatom sites on the surface $(1.21 \times 10^{15} \,{\rm cm}^{-2})$,^{13,18)} $F_{\rm C} - n_{\rm Co}/\tau_{\rm C}$ can be calculated from Eq. (4).

Based on the same experimental results,⁶⁾ one of the present authors estimated $\lambda_{\rm C}$ to be 24–36 nm at 1773 K for $\theta = 1^{\circ} - 45^{\circ}$. Here we re-examine $\lambda_{\rm C}$ by limiting the experimentally obtained $R(\theta \text{ (deg)}, C/Si)$ in Ref. 6 to the cases of $\theta = 1^{\circ}$ and 4° ; namely, $R(1, 0.5) = 1.93 \ \mu m h^{-1}$, $R(1, 0.6) = 2.11 \ \mu \text{m h}^{-1}, R(1, 0.75) = 2.91 \ \mu \text{m h}^{-1}, R(4, 0.5) =$ 2.53 μ m h⁻¹, R(4, 0.6) = 3.19 μ m h⁻¹, and R(4, 0.75) = 3.88 μ m h⁻¹. By assuming $\lambda_{\rm C}$ is equal to 20, 25, or 30 nm, we calculated $F_{\rm C} - n_{\rm Co}/\tau_{\rm C}$ from Eq. (4) as a function of C/Si, as shown in Fig. 2. In each figure, the line shows the leastsquares fit to the experimental results. Since C/Si was experimentally varied under a fixed flow rate of SiH_4 ,⁶⁾ it is reasonable that $F_{\rm C}$ is a linear function of C/Si. The absolute values of the intercept with the vertical axes in Figs. 2(a)-2(c)give an equilibrium desorption flux of carbon-containing molecules $n_{\rm Co}/\tau_{\rm C}$. Due to the best fit to the experimental results [Fig. 2(b)], $\lambda_{\rm C}$ is determined to be 25 nm.

By assuming a highly diluted solid solution of SiC_{1-x}N_x $(x \ll 1)$,³¹⁾ we consider $J_N(\lambda_0/2)$ being equal to $x J_C(\lambda_0/2)$. Therefore, the following equation is obtained from Eqs. (3a) and (3b):

$$F_{\rm N} - n_{\rm No}/\tau_{\rm N} = x(\lambda_{\rm C}/\lambda_{\rm N})(F_{\rm C} - n_{\rm Co}/\tau_{\rm C})[\tanh(\lambda_{\rm o}/2\lambda_{\rm C})/\tanh(\lambda_{\rm o}/2\lambda_{\rm N})].$$
(5)

Since the volume of the Si–C pair is 2.07×10^{-23} cm³,¹⁸) the experimentally obtained $x(\theta \text{ (deg)}, C/Si)$ in Ref. 6 is calculated as follows: $x(1, 0.5) = 3.8 \times 10^{-4}$, $x(1, 0.75) = 4.9 \times 10^{-4}$, $x(1, 1) = 2.9 \times 10^{-4}, x(4, 0.5) = 5.0 \times 10^{-4}, x(4, 0.75) =$ 6.5×10^{-4} , and $x(4, 1) = 3.0 \times 10^{-4}$. From Fig. 2(b), $F_{\rm C} - n_{\rm Co}/\tau_{\rm C}$ is obtained as 8.4×10^{14} cm⁻² s⁻¹ at C/Si = 0.5, 1.3×10^{15} cm⁻² s⁻¹ at C/Si = 0.75, and 1.7×10^{15} cm⁻² s⁻¹ at C/Si = 1. Putting these values into Eq. (5) leads to $F_{\rm N} - n_{\rm No}/\tau_{\rm N}$ as functions of $\lambda_{\rm N}$ and C/Si. To clarify the magnitude relationship between λ_N and λ_C , we assume λ_N as $\lambda_{\rm C}/2$ [=12.5 nm, Fig. 3(a)], $\lambda_{\rm C}$ [= 25 nm, Fig. 3(b)], and 2 $\lambda_{\rm C}$ [= 50 nm, Fig. 3(c)]. Since the experiments were carried out under a fixed flow rate of N₂,⁶⁾ $F_N - n_{No}/\tau_N$ is independent of θ and C/Si as long as $F_N \gg n_{No}/\tau_N$ is satisfied. This is nearly realized when $\lambda_{\rm N}$ is equal to or longer than $\lambda_{\rm C}$ at 1773 K [Figs. 3(b) and 3(c)]. When λ_N is shorter than λ_C , on the other hand, the calculated $F_{\rm N} - n_{\rm No}/\tau_{\rm N}$ for θ of 1° disagrees with the calculated $F_{\rm N} - n_{\rm No}/\tau_{\rm N}$ for θ of 4° [Fig. 3(a)]. Based on these results, we concluded that $\lambda_N \ge \lambda_C$ at 1773 K.

 λ_i (*i* = C, N) is generally expressed as

$$\lambda_i = a \exp\left[(E^{\text{des}}_i - E^{\text{diff}}_i)/2k_B T_g\right],\tag{6}$$

where *a* is the interatomic distance [i.e. 0.3 nm in the case of 4H-SiC (0001)], T_g is growth temperature, and $E^{des}{}_i$ and $E^{diff}{}_i$ are, respectively, the desorption energy and the activation energy of surface diffusion of *i*-containing molecules. Putting $\lambda_C = 25$ nm, $\lambda_N \ge 25$ nm, and $T_g = 1773$ K into Eq. (6)



Fig. 3. Dependences of $F_N - n_N d/\tau_N$ on *C/Si* calculated from Eq. (5) with assumptions of λ_N being equal to (a) 12.5, (b) 25, and (c) 50 nm. Solid ($\theta = 1^\circ$) and open ($\theta = 4^\circ$) symbols denote the experimental results at 1773 K.⁶

results in $E^{\text{des}}_{\text{C}} - E^{\text{diff}}_{\text{C}} = 1.31 \text{ eV}$ and $E^{\text{des}}_{\text{N}} - E^{\text{diff}}_{\text{N}} \ge 1.31 \text{ eV}$. Under the assumption that these $E^{\text{des}}_{i} - E^{\text{diff}}_{i}$ values are the same in the case of PVT-grown 4H-SiC (0001), the relation $\lambda_{\text{N}} \ge \lambda_{\text{C}}$ (=90–112 nm) still holds at 2573–2673 K, which agrees with the reported assumption of $\lambda_{\text{N}} > \lambda_{\text{C}}$ made for the observed nitrogen-doping variation on (0001) facets of PVT-grown 4H-SiC.²¹

In conclusion, the estimated relations $\lambda_{\rm C} = 25 \text{ nm}$ and $\lambda_{\rm N} \ge \lambda_{\rm C}$ at 1773 K in the case of CVD was found to agree with the reported assumption made in the case of PVT.

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